



CASE STUDY

# Leading Life Sciences Company Enhances Compliance with Analytics & Automation Software

Case IQ

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## THE NEED

A global biopharmaceutical company sought to enhance its compliance controls and processes during ongoing DOJ and SEC FCPA investigations. Enhancements were implemented in the following high-risk areas:

- Third-party payments
- Benefits to government officials and healthcare professionals
- Sponsorships and donations



"Lextegrity's team of expert former in-house compliance professionals were partners who understood what we wanted and had solutions ready to implement, yet customizable to our needs, all on extremely tight timelines."

Chief Compliance Officer

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## THE SOLUTION

- They deployed Case IQ's\* Approvals & Disclosures software to bring multiple risk approval processes into one user-friendly platform for the business globally and available across devices and in multiple languages
- Case IQ then helped them to integrate the Approvals & Disclosures software into their purchasing system, providing enhanced controls prior to payment
- Then they implemented Case IQ's Compliance Monitoring software to monitor 100% of enterprise spend, using sophisticated fraud analytics, to flag high-risk transactions for review and potential remediation

\*Lextegrity was acquired by Case IQ in 2025 and is now offered as an end-to-end suite of compliance tools.



### INDUSTRY


Biopharmaceuticals

### USE CASES

- DOJ & SEC Investigation Resolutions
- Pre-Approval Workflows & Automation
- Global Compliance Analytics

### BUSINESS ISSUE

A global biopharmaceutical company sought to enhance its compliance controls and processes during ongoing DOJ and SEC FCPA investigations.



“Lextegrity’s software was a game-changer for us. It’s revolutionary. And we didn’t need any data scientists or engineers or a team of expensive consultants. It existed out of the box.”

Chief Compliance Officer

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## THE RESULTS

The compliance program enhancements using Case IQ’s software contributed to the company’s resolution of its FCPA case with regulators:

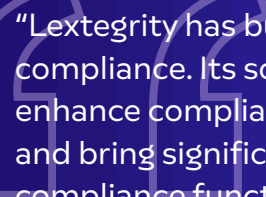
**DOJ Declination, SEC Settlement:**

*“remediation included strengthening and expanding its global compliance organization... including the implementation of a centralized system to track and monitor third-party payments.”*

SEC Order

Case IQ’s automation and data analytics software has cost-effectively reduced the company’s global risk exposure in multiple ways:

- Smart questionnaires and approval workflows to cover multiple risks and routing of approvals to appropriate functional approvers
- Automated sanctions screening and aggregate spend calculations with autoescalation to eliminate manual effort
- Automated identification of high-risk spend transactions and risk context descriptions to expedite reviews



“Lextegrity has built the future of compliance. Its software allowed us to enhance compliance controls globally and bring significant innovation to our compliance function.

Our compliance transformation depended on technology, and we had the best possible technology partner supporting us ”

Chief Compliance Officer

"The return on investment for Lextegrity's technology has been tremendous. It has saved business users and risk functions hours of manual effort while centralizing our processes and making our overall program more effective."

Chief Compliance Officer



## ABOUT CASE IQ

Case IQ offers an end-to-end compliance and case management solution that consolidates compliance monitoring, whistleblower solutions, third-party risk oversight, investigative case management and compliance approval and disclosures workflows. Lextegrity was acquired by Case IQ in 2025 and is now offered as an end-to-end suite of compliance tools.

# Case IQ

**Learn how Case IQ can help you achieve end-to-end compliance with confidence.**

[Book Your Call](#)

[www.caseiq.com](http://www.caseiq.com)